


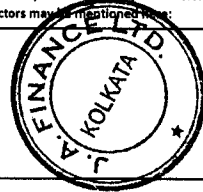
Quarterly Compliance Report on Corporate Governance														
Format to be submitted by listed entity on quarterly basis														
1. Name of Listed Entity : J. A. Finance Ltd.														
2. Quarter ending: 30-09-2021														
I. Composition of Board of Directors														
Title (Mr. / Ms)	Name of the Director	PAN\$ & DIN	Category (Chairperson/ Executive/ Non-Executive/ Independent/ Nominee) &	Initial Date of Appointment	Date of Appointment	Date of cessation	Tenure*	Date of Birth	Whether special resolution passed ?	Date of passing special resolution	No of Directorship in listed entities including this listed entity (Refer Regulation 25(1) of Listing Regulations)	No of Independent Directorship in listed entities including this listed entity	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)
Mr.	Dilip Kumar Goyal	PAN: AFRPG1000L DIN: 00033590	NED	30th April, 1993	30th April, 1993	Nil	Nil	13th Sep. 1959	NA	NA	2	Nil	3	Nil
Mr.	Akshay Goyal	PAN: ADNPG2270N DIN: 00201393	ED-MD	31st March, 2014	17th Sep., 2019	Nil	Nil	27th Nov, 1984	YES	17th Sep., 2019	2	Nil	3	Nil
Mr.	Ankit Goyal	PAN: AIUPG1229K DIN: 00963125	NED	31st Jan, 2014	31st Jan, 2014	Nil	Nil	8th April, 1987	NA	NA	2	Nil	2	Nil
Mr.	Manoj Kumar Agarwal	PAN: ABOPA9698P DIN 00031246	NED	6th Jan, 2007	28th Sep, 2020	2nd August, 2021	Nil	29th May, 1974	YES	28th Sep, 2020	1	Nil	Nil	Nil
Mr.	Murari Lal Khandelwal	PAN: ACKPK5476E DIN: 00434495	ID	21st Sep, 2006	14th August, 2020	Nil	7	15th Oct, 1964	YES	17th Sep., 2019	2	2	6	6
Mr.	Amit Agarwal	PAN: ABLPA6169N DIN: 07274291	ID	14th August, 2020	14th August, 2020	Nil	1	17th July, 1976	YES	28th Sep, 2020	1	1	5	Nil
Ms.	Medhavi Lohia	PAN: AHOPK4020F DIN: 06489775	ID	30th April, 2015	14th August, 2020	Nil	6	7th Feb, 1983	YES	28th Sep, 2020	Nil	1	Nil	Nil
Whether regular Chairman appointed- NO														
Whether Chairperson is related to managing director or CEO- NO														
\$ PAN number of any director would not be displayed on the website of Stock Exchange														
& Category of directors means executive/non-executive/independent/Nominee. If a director fits into more than one category write all categories separating them with hyphen														
* to be filled only for Independent Director. Tenure would mean total period from which Independent director is serving on Board of directors of the listed entity in continuity without any cooling off period.														



II. Composition of Committees					
Name of Committee	Whether regular chairperson appointed	Name of Committee Members	Category (Chairperson/Executive/Non-Executive/Independent/Nominee) &	Date of Appointment	Date of Cessation
1. Audit Committee	Yes	Murari Lal Khandelwal	Chairman- Non-Executive & Independent	14th August, 2020	Nil
		Amit Agarwal	Member- Non-Executive & Independent	14th August, 2020	Nil
		Akshay Goyal	Member-Executive & non Independent	14th August, 2020	Nil
2. Nomination & Remuneration Committee	Yes	Murari Lal Khandelwal	Chairman- Non-Executive & Independent	14th August, 2020	Nil
		Amit Agarwal	Member- Non-Executive & Independent	14th August, 2020	Nil
		Ankit Goyal	Member-Non-Executive & Non Independent	2nd August, 2021	Nil
		Manoj Kumar Agarwal	Member-Non-Executive & Non Independent	14th August, 2020	2nd August, 2021
3. Risk Management Committee(if applicable): Not Applicable					
4. Stakeholders Relationship Committee	Yes	Murari Lal Khandelwal	Chairman- Non-Executive & Independent	14th August, 2020	Nil
		Amit Agarwal	Member- Non-Executive & Independent	14th August, 2020	Nil
		Ankit Goyal	Member-Non-Executive & Non Independent	2nd August, 2021	Nil
		Manoj Kumar Agarwal	Member-Non-Executive & Non Independent	14th August, 2020	2nd August, 2021
&Category of directors means executive/non-executive/independent/Nominee. if a director fits into more than one category write all categories separating them with hvohen					

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III. Meeting of Board of Directors						
Date(s) of Meeting (if any) in the previous quarter	Date(s) of Meeting (if any) in the relevant quarter	Whether requirement of Quorum met*	Number of Directors Present*	Number of Independent Directors Present*	Maximum gap between any two consecutive (in no. of days)	
30th June, 2021	2nd August, 2021	YES	6	3	2nd August, 2021 to 30th June, 2021 = 33 Days	
* to be filled in only for the current quarter meeting						
IV. Meeting of Committees						
Name of the Committee	Date(s) of Meeting (if any) in the relevant quarter	Whether requirement of Quorum met*	Number of Directors Present*	Number of Independent Directors Present*	Date(s) of Meeting (if any) in the previous quarter	Maximum gap between any two consecutive (in no. of days)
Audit Committee	2nd August, 2021	Yes	3	2	30th June, 2021	2nd August, 2021 to 30th June, 2021 = 33 Days
Nomination & Remuneration Committee	2nd August, 2021	Yes	3	2	30th June, 2021	2nd August, 2021 to 30th June, 2021 = 33 Days
Stakeholders Relationship Committee	2nd August, 2021	Yes	3	2	30th June, 2021	2nd August, 2021 to 30th June, 2021 = 33 Days
* This information has to be mandatorily be given for audit committee, for rest of the committees giving this information is optional						
V. Related Party Transactions						
Subject			Compliance status (Yes/No/NA)refer note below			
Whether prior approval of audit committee obtained			Yes			
Whether shareholder approval obtained for material RPT			Not Applicable			
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee			Not Applicable			
Note 1. In the column "Compliance Status", compliance or non-compliance may be indicated by Yes/No/N.A.. For example, if the Board has been composed in accordance with the requirements of Listing Regulations, "Yes" may be indicated. Similarly, in case the Listed Entity has no related party transactions, the words "N.A." may be indicated. 2. If status is "No" details of non-compliance may be given here.						
VI. Affirmations						
1. The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015-Yes 2. The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015: a. Audit Committee-Yes b. Nomination & remuneration committee-Yes c. Stakeholders relationship committee-Yes d. Risk management committee (applicable to the top 100 listed entities)-N.A. 3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015-Yes 4. The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015-Yes 5. a. This report and/or the report submitted in the previous quarter has been placed before Board of Directors-Yes b. Any comments/observations/advice of Board of Directors may be mentioned here:						
For, J. A. Finance Limited  Raju Patra Company Secretary and Compliance Date: 11/10/2021 Place: Jamshedpur						
						
Note: Information at Table I and II above need to be necessarily given in 1st quarter of each financial year. However if there is no change of information in subsequent quarter(s) of that financial year, this information may not be given by Listed entity and instead a statement "same as previous quarter" may be given.						

Report on Corporate Governance for the Half Year ended on 30th September, 2021

Affirmations:

Broad heading	Regulation Number	Compliance status (Yes/ No/ NA) refer note below
Copy of the annual report including balance sheet, profit and loss account, directors report, corporate governance report, business responsibility report displayed on website	46(2)	Yes
Presence of Chairperson of Audit Committee at the Annual General Meeting	18(1)(d)	No**
Presence of Chairperson of the nomination and remuneration committee at the Annual General Meeting	19(3)	No**
Presence of Chairperson of the Stakeholder Relationship committee at the Annual General Meeting	20(3)	No**
Whether "Corporate Governance Report" disclosed in Annual Report	34(3) read with para C of Schedule V	Yes

Note:

1. In the column "Compliance Status", compliance or non-compliance may be indicated by Yes / No / N.A. For example, if the Board has been composed in accordance with the requirements of Listing Regulations, "Yes" may be indicated. Similarly, in case the Listed Entity has no related party transactions, the words "N.A." may be indicated.

2. If status is "No" details of non-compliance may be given here.

**** Mr. Dilip Kumar Goyal, present at the Annual General Meeting of the Company held on 30th August, 2021 and represent the Audit Committee, nomination and remuneration committee and Stakeholder Relationship committee.**

3. If the Listed Entity would like to provide any other information the same may be indicated here

For, J. A. Finance Limited




Raju Patro

Company Secretary and Compliance Officer

Date: 4/10/2021

Place: Tarasghat Pur

Half year ending-30.09.2021

I. Disclosure of Loans/guarantees/comfort letters/securities etc.

(A) Any Loan or any other form of debt advanced by the listed entity directly or indirectly to:

Entity	Aggregate amount advanced during six months	Balance outstanding at the end of six months
Promoter or any other entity controlled by them	Refer Note below	Refer Note below
Promoter Group or any other entity controlled by them	Refer Note below	Refer Note below
Directors(including relatives or any other entity controlled by them)	Refer Note below	Refer Note below
KMPs or any other entity controlled by them	Refer Note below	Refer Note below

**** The listed entity is RBI Registered non deposit taking, non systematically important-non banking financial company (ND-NSI-NBFC) engaged in the business of lending and investing, Hence loans and other form of debt may be advanced by the listed entity as a part of regular business activity wherein promoter, Promoter Group, Directors including relatives, KMP and other entity controlled by them.**

(B) Any guarantee/comfort letter (by whatever name called) provided the listed entity directly or indirectly, in connection with any loan(s) or other form of debt availed by:**

Entity	Type(guarantee, comfort letter etc.)	Aggregate amount of issuance during six months	Balance outstanding at the end of six months (taking into account any invocation)
Promoter or any other entity controlled by them	NIL	NIL	NIL
Promoter Group or any other entity controlled by them	NIL	NIL	NIL
Directors(including relatives or any other entity controlled by them)	NIL	NIL	NIL
KMPs or any other entity controlled by them	NIL	NIL	NIL

(c) Any security provided by the listed entity or indirectly, in connection with any loan(s) or any other form of debt availed by:

Entity	Type of security (cash, shares etc.)	Aggregate value of security provided during six months)	Balance outstanding at the end of six months
Promoter or any other entity controlled by them	NIL	NIL	NIL



Promoter Group or any other entity controlled by them	NIL	NIL	NIL
Directors (including relatives or any other entity controlled by them)	NIL	NIL	NIL
KMPs or any other entity controlled by them	NIL	NIL	NIL

II. Affirmations:

All loans (or other form of debt), guarantees, comfort letters (by whatever name called) or securities in connection with any loan(s) (or other form of debt) given directly or indirectly by the listed entity to promoter(s), promoter group, director(s) (including their relatives), key managerial personnel (including their relatives) or any entity

For, J. A. Finance Limited




Raju Patro

Company Secretary and Compliance Officer

Date: 11/10/2021, Place: Jamshedpur

Note:

1. These disclosures shall exclude any loan (or other form of debt), guarantee/comfort letter (by whatever name called) or security provided in connection with any loan or any other form of debt;

(a) by a government company to/for the Government or government company

(b) by the listed entity to/for its subsidiary [and joint-venture company] whose accounts are consolidated with the listed entity.

(c) by a banking company or an insurance company; and

(d) by the listed entity to its employees or directors as a part of the service conditions

2. If the listed entity would like to provide any other information, the same may be indicated as Para D in the above table.